

Time-reversal symmetric topological superconductivity in Machida-Shibata lattices

Thore Posske, Universität Hamburg, Germany
ICSM 2025, Fethiye, Türkiye

Time-reversal symmetric topological superconductivity in Machida-Shibata lattices

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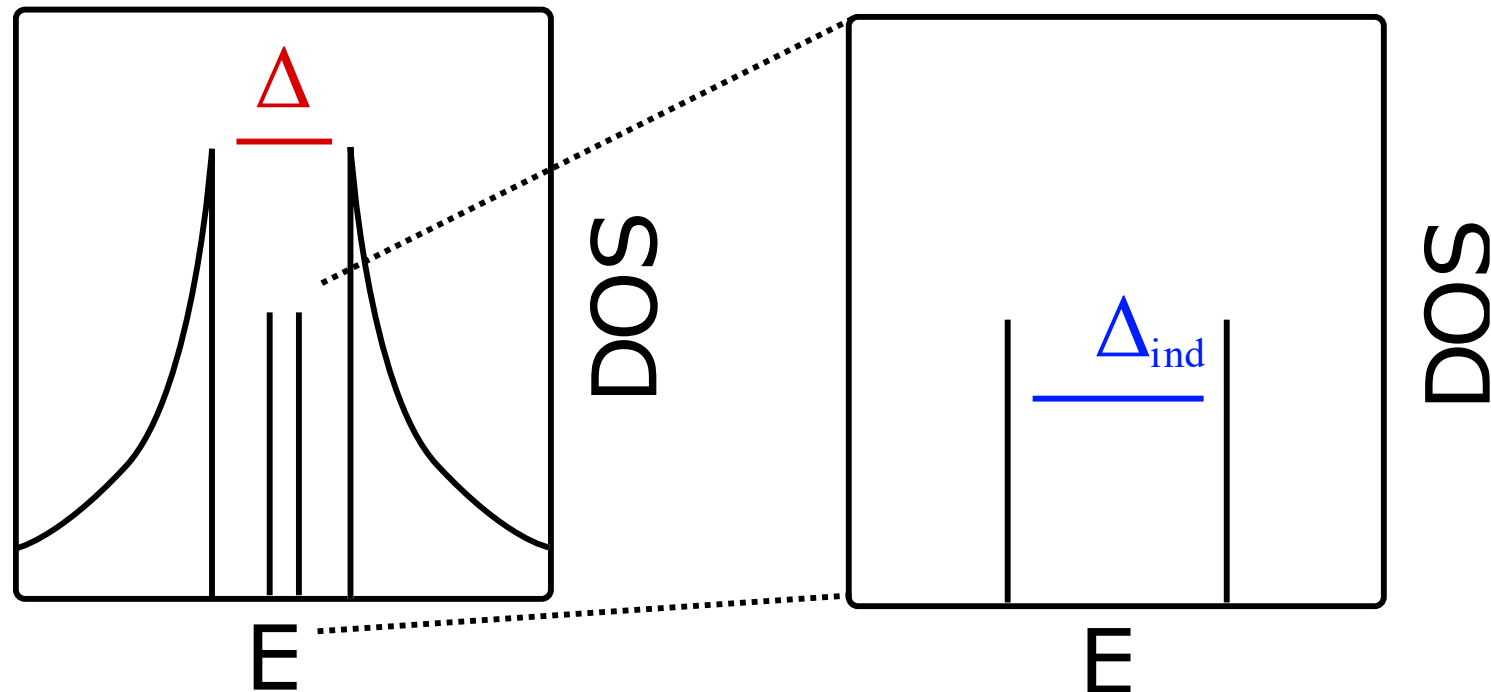
(Dated: April 14, 2025)

Summary

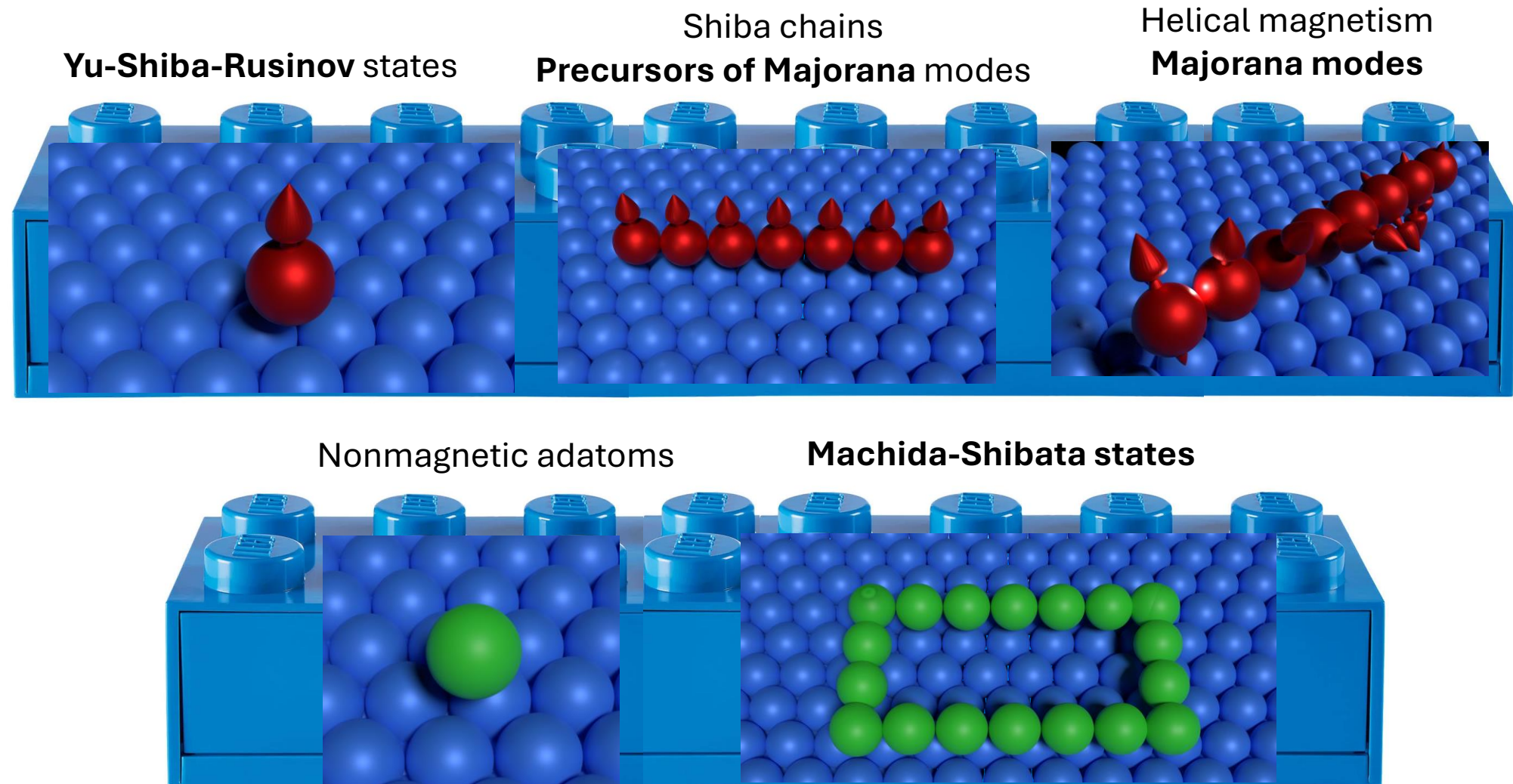
- **Nonmagnetic in-gap state** on superconductors:
Machida-Shibata state
- **Hybridizing lattices of Machida-Shibata states** accommodates
topological superconductor, class DIII
- Small spin orbit coupling creates topologically nontrivial phase
- **Kramers degenerate Majorana bound states** (1D) and dispersive
chiral Majorana edge channels (2D)
- Exact compensation of singlet and triplet superconductivity in
parts of the Brillouin zone

Motivation

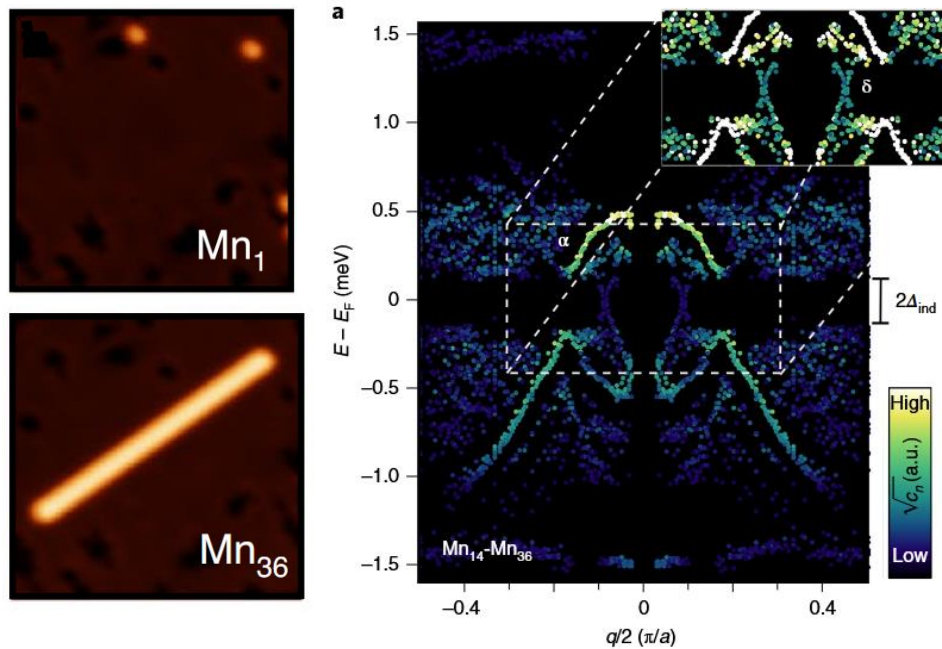
Low-energy (in-gap) electronic states in superconductors



Lego kit of in-gap states for synthesizing 2D quantum matter

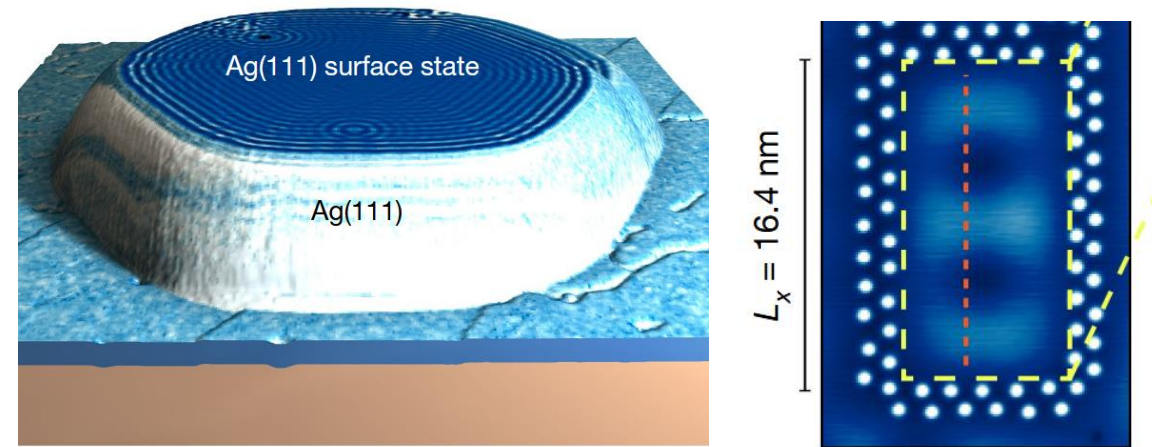


Motivation



Magnetic adatoms
Yu-Shiba-Rusinov states
hybridization $\Rightarrow$ top. YSR bands

Schneider et al., Nature Physics **17**, 943 (2021)



Nonmagnetic adatoms
Machida-Shibata states
hybridization $\Rightarrow$?

Schneider et al., Nature **621**, 60 (2023)

Motivation

RAPID COMMUNICATION

No-go theorem for a time-reversal invariant topological phase in noninteracting systems coupled to conventional superconductors

[Arbel Haim](#)¹, [Erez Berg](#)¹, [Karsten Flensberg](#)², and [Yuval Oreg](#)¹

Show more



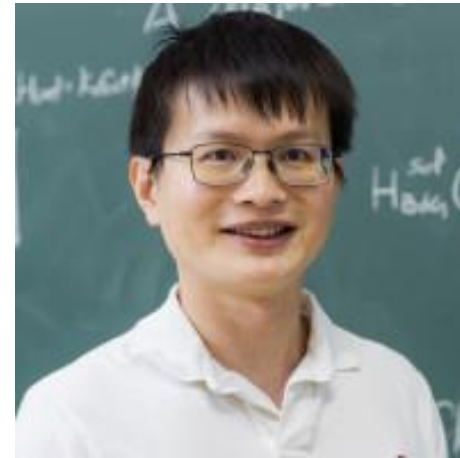
Phys. Rev. B **94**, 161110(R) – **Published 10 October, 2016**

DOI: <https://doi.org/10.1103/PhysRevB.94.161110>

People

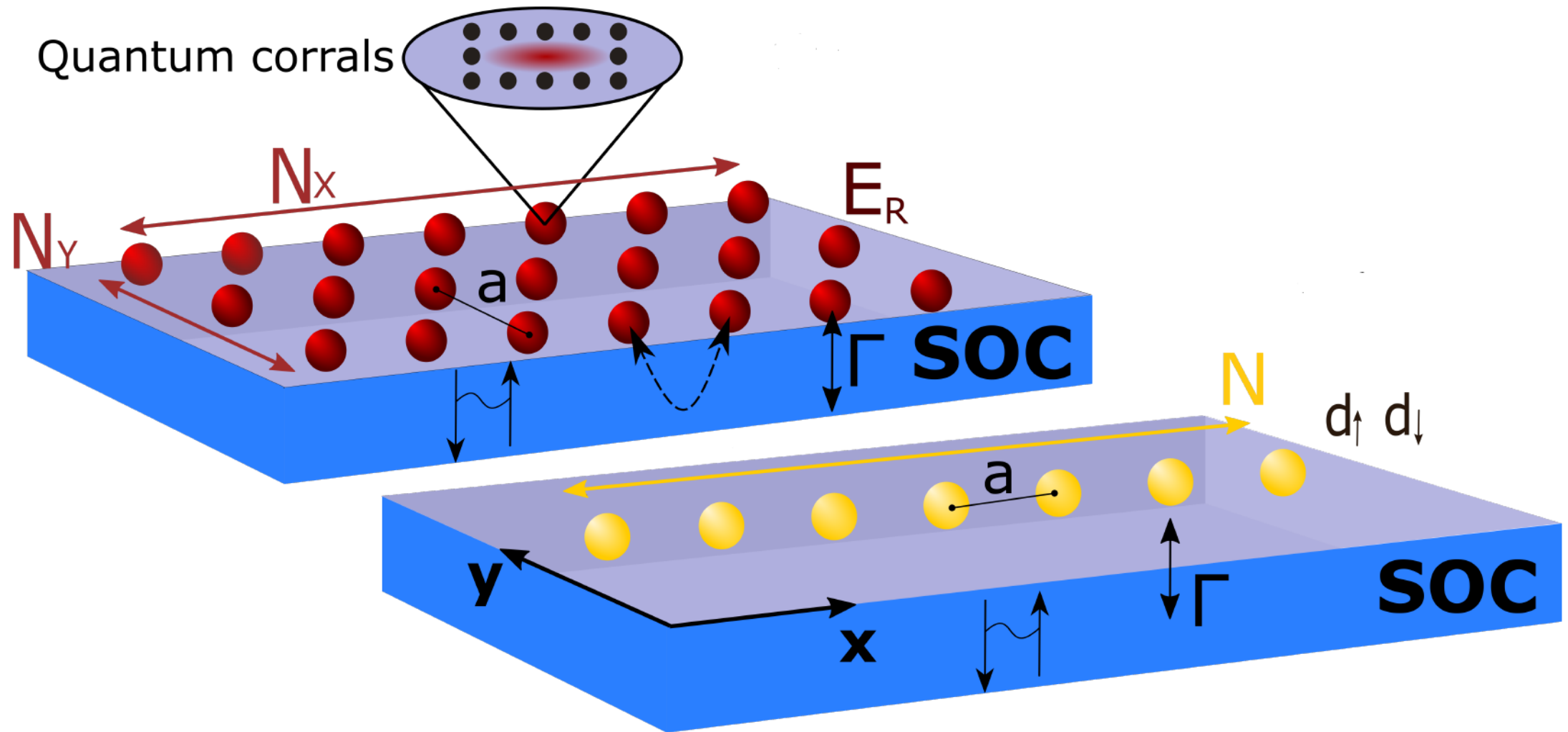


Ioannis Ioannidis
U Hamburg

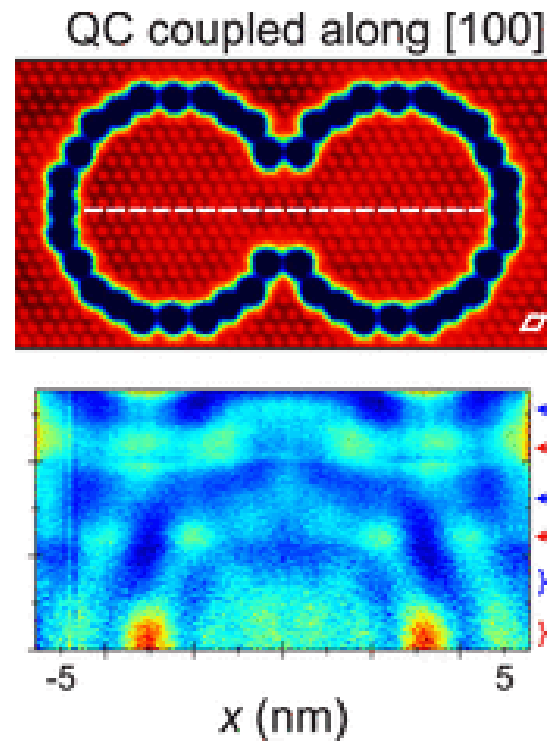
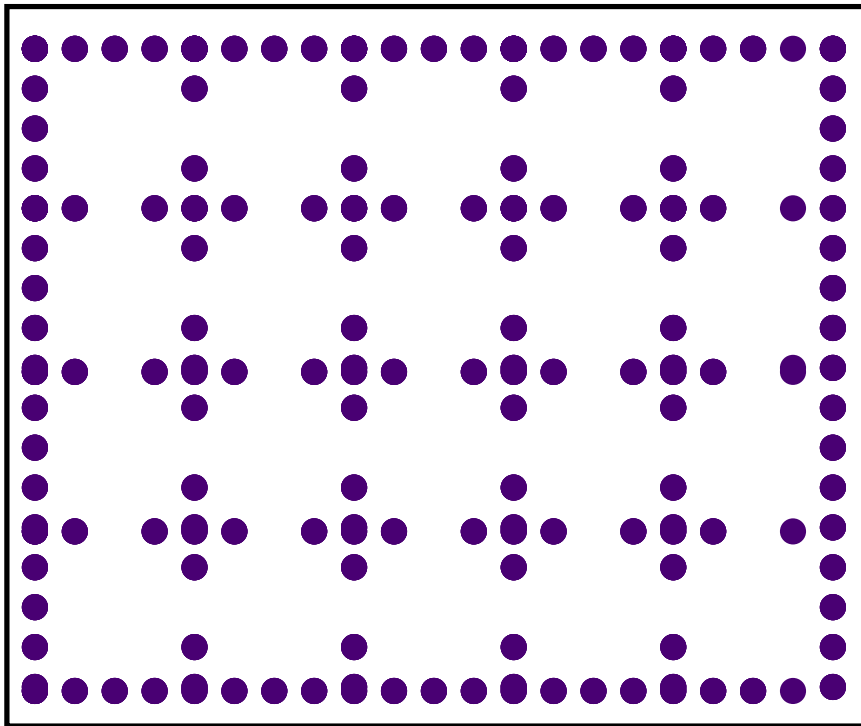


Ching-Kai Chiu
RIKEN

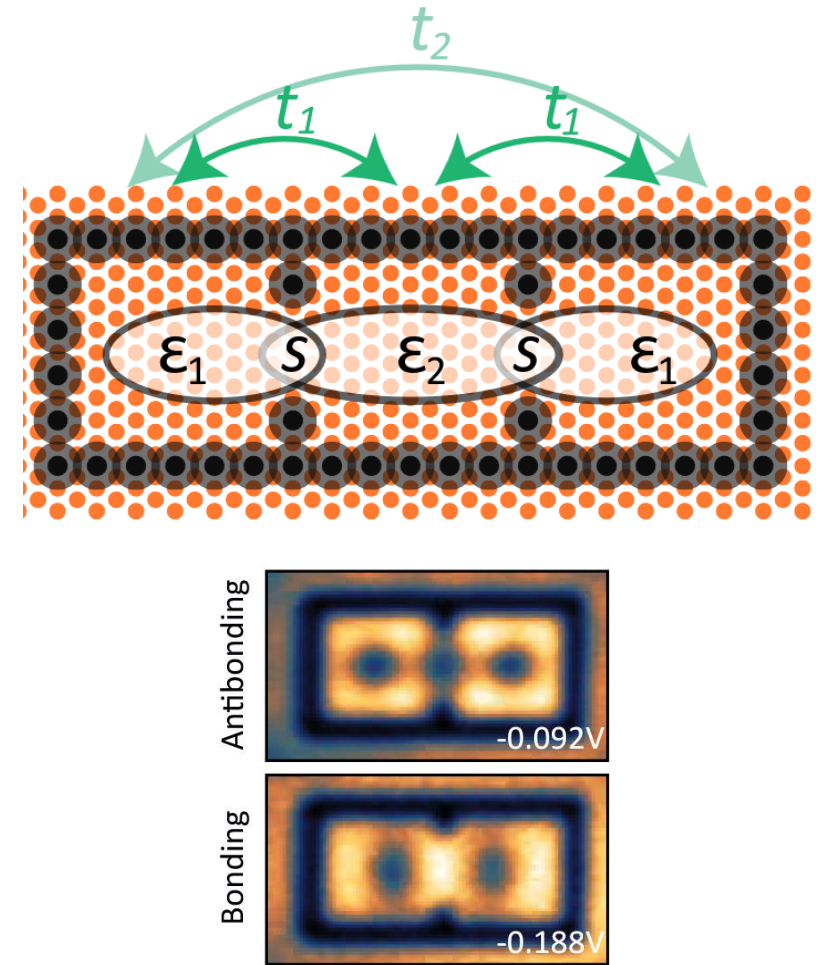
Machida-Shibata lattices



Machida Shibata lattices, proposal



Jolie ... Khajetoorians et al.
[ACS Nano, 16, 4876 \(2022\)](#)



Freeney et al.,
[SciPost Phys. 9, 085 \(2020\)](#)

Symmetry class

- Time-reversal symmetry T
(no magnetic field)
- Particle-hole symmetry C
(superconductor)
- Chiral symmetry
($S = T \cdot C$)

Symmetry				Dimension							
AZ	T	C	S	1	2	3	4	5	6	7	8
A	0	0	0	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$
AIII	0	0	1	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0
AI	1	0	0	0	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$
BDI	1	1	1	$\mathbb{Z}$	0	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$
D	0	1	0	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$
DIII	-1	1	1	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$\mathbb{Z}$	0
AII	-1	0	0	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$\mathbb{Z}$
CII	-1	-1	1	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0
C	0	-1	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0
CI	1	-1	1	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0

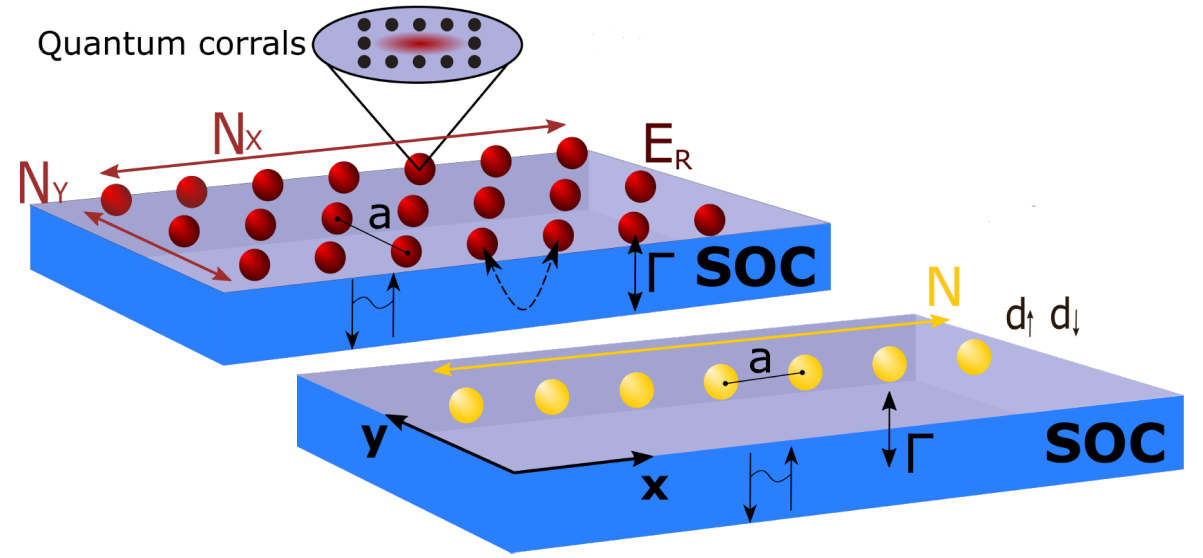
Hamiltonian

$$\hat{H} = \hat{H}_{\text{MS}} + \hat{H}_{\text{SC}} + \hat{H}_{\text{T}}$$

$$\hat{H}_{\text{MS}} = E_{\text{R}} \sum_{\sigma,j} d_{\sigma,j}^{\dagger} d_{\sigma,j}$$

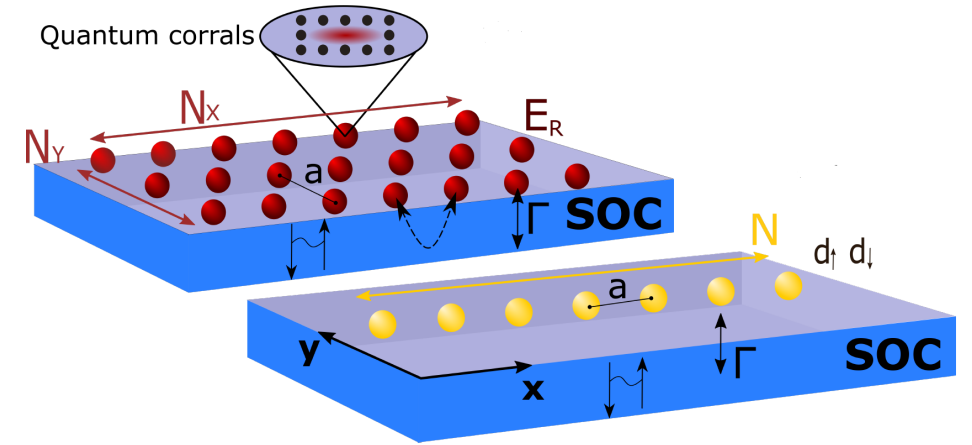
$$\hat{H}_{\text{T}} = V \sum_{\mathbf{k},\sigma,j} \left(e^{i\mathbf{k}\mathbf{R}_j} c_{\mathbf{k},\sigma}^{\dagger} d_{\sigma,j} + h.c \right)$$

$$\hat{H}_{\text{SC}} = \sum_{\mathbf{k},\sigma} \epsilon_{\mathbf{k}} c_{\mathbf{k},\sigma}^{\dagger} c_{\mathbf{k},\sigma} + \lambda \sum_{\mathbf{k}} |\mathbf{k}| \left(i e^{-i\theta(\mathbf{k})} c_{\mathbf{k},\uparrow}^{\dagger} c_{\mathbf{k},\downarrow} + h.c \right) - \Delta \sum_{\mathbf{k}} \left(c_{\mathbf{k}\uparrow}^{\dagger} c_{-\mathbf{k}\downarrow}^{\dagger} + h.c \right)$$



Effective Hamiltonian

$$H_{\text{eff}} = \begin{pmatrix} h_{i,j} & \Delta_{i,j} \\ \Delta_{i,j}^\dagger & -\sigma_z h_{i,j} \sigma_z \end{pmatrix}$$



$$\hat{h}_{i,j} = \begin{pmatrix} h_{i,j}^N & h_{i,j}^F \\ h_{i,j}^{F\dagger} & h_{i,j}^N \end{pmatrix} \quad \hat{\Delta}_{i,j} = \begin{pmatrix} \Delta_{i,j}^S & \Delta_{i,j}^T \\ \Delta_{i,j}^{T*} & -\Delta_{i,j}^S \end{pmatrix}$$

$$\left(d_{\uparrow,j}^\dagger \quad d_{\downarrow,j}^\dagger \quad d_{\downarrow,j} \quad d_{\uparrow,j} \right)^\dagger$$

Effective parameters

$$\begin{aligned} h_{i,j}^N &= E_R \delta_{i,j} + (1 - \delta_{i,j}) \text{Im} (w_{i,j}^e) , & \Delta_{i,j}^S &= -\Gamma \delta_{i,j} - (1 - \delta_{i,j}) \text{Re} (w_{i,j}^e) , \\ h_{i,j}^F &= (1 - \delta_{i,j}) e^{-i\phi_{i,j}} \text{Re} (w_{i,j}^o) , & \Delta_{i,j}^T &= -(1 - \delta_{i,j}) e^{-i\phi_{i,j}} \text{Im} (w_{i,j}^o) , \end{aligned}$$

$$w_{i,j}^o = \sum_{\mu=\pm} \frac{\mu \Gamma_\mu}{2} \left(i J_1 [x_{i,j}^\mu] + H_{-1} [x_{i,j}^\mu] \right)$$

$$w_{i,j}^e = \sum_{\mu=\pm} \frac{\Gamma_\mu}{2} \left(J_0 [x_{i,j}^\mu] + i H_0 [x_{i,j}^\mu] \right)$$

$$\Gamma_\pm = \pi \nu_\pm |V|^2 \qquad x_{j,m}^\pm = (k_{F\pm} + i\xi^{-1}) |\mathbf{R}_j - \mathbf{R}_m|$$

Bessel and Struve functions

Bessels differential equation

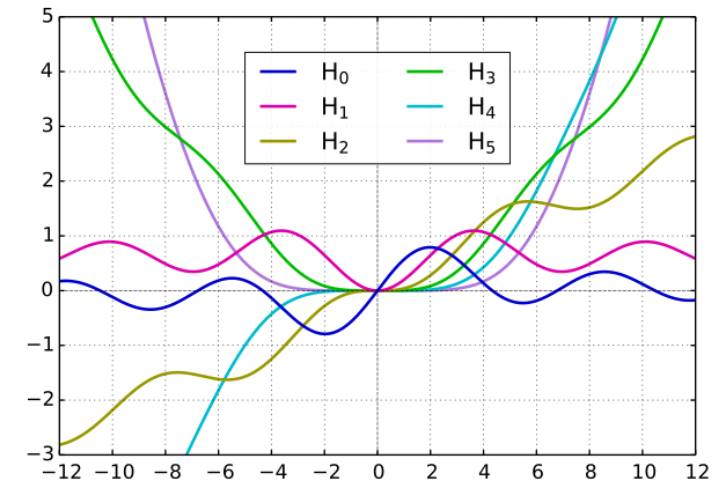
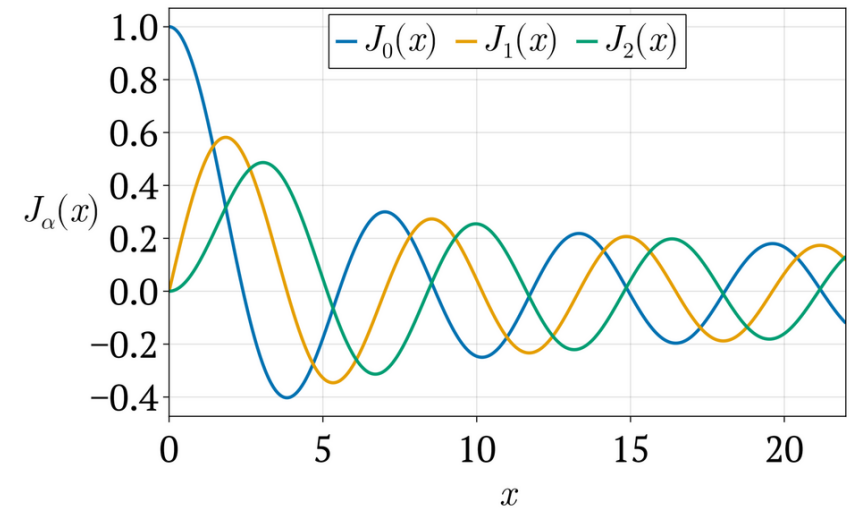
$$(x^2 \partial_x^2 + x \partial_x + (x^2 - \alpha^2))y \sim x^\alpha$$

- Bessel function of first kind (hom. sol.)

$$J_\alpha(x) = \frac{1}{\pi} \operatorname{Re} \left(\int_0^\pi e^{i(\alpha\tau - x \sin(\tau))} d\tau \right)$$

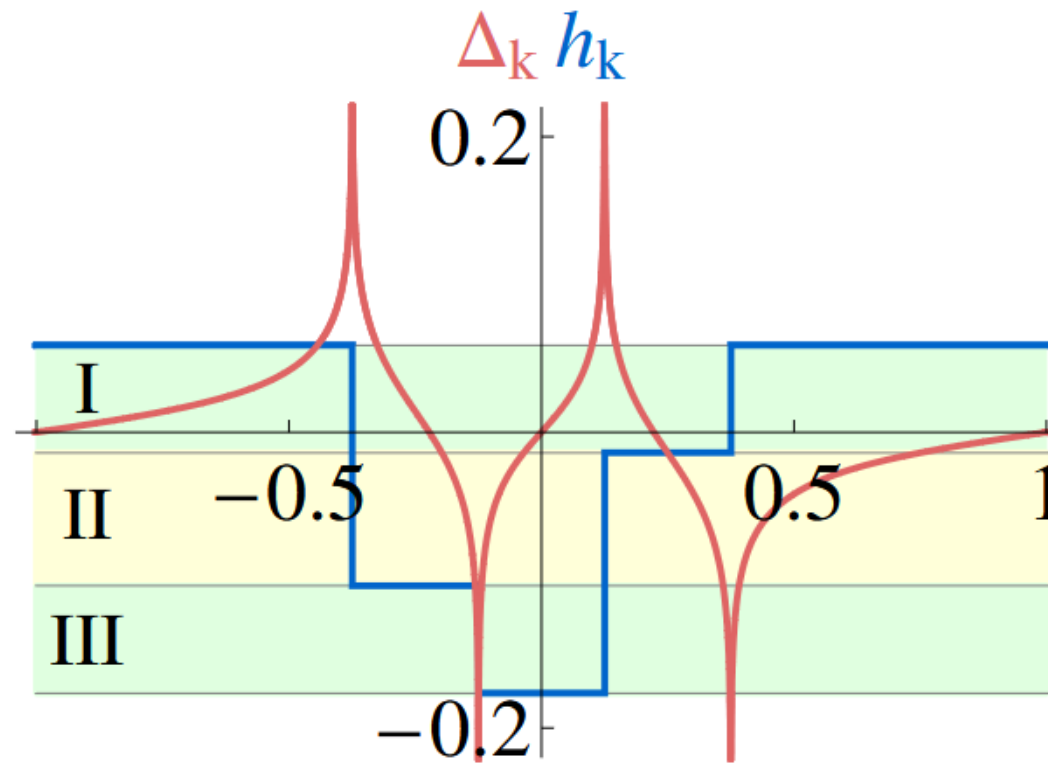
- Struve function (inhomogeneous solution)

$$H_\alpha(x) \sim \frac{1}{\pi} \int_0^{\pi/2} \sin(x \sin(\tau)) \cos(\tau)^{2\alpha} d\tau$$



Effective parameters in momentum space

example: YSR bands



Pientka et al., PRB **88**, 155420 (2013)

Solution – selected details

Green's function equations of motion

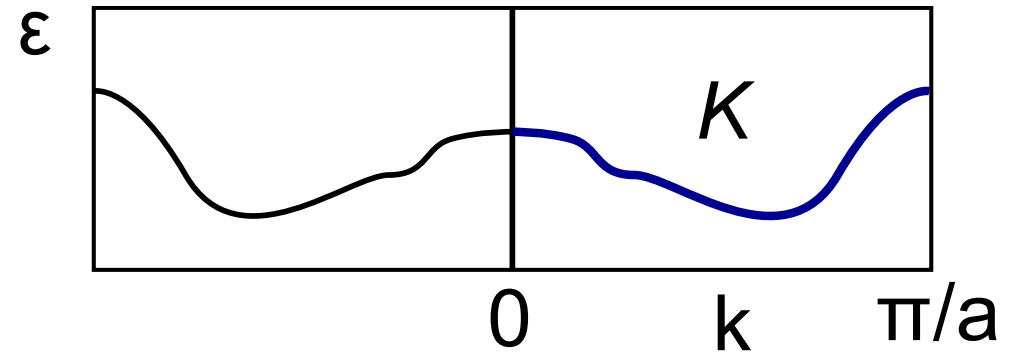
$$(E - \hat{H}_{\text{eff}}(E))\check{G} = \hat{1}$$

Gap closure condition in 1D

$$\Delta^S(p_0) = \pm \text{Im}\{\Delta^T(p_0)\}$$

$$h^N(p_0) = \mp \text{Im}\{h^F(p_0)\}$$

Topological analysis

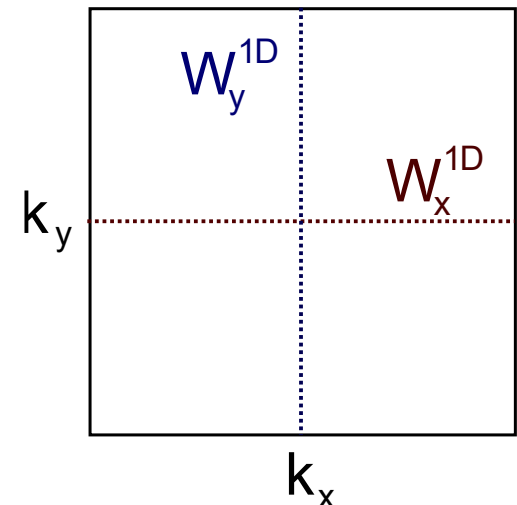


1D

- Class DIII topological invariant $W^{1D} = \det(K) \frac{\text{Pf}(\theta_0)}{\text{Pf}(\theta_\pi)}$
- With Kato propagator K from $k = 0$ to $k = \pi$
 θ_k represents time reversal symmetry.

2D

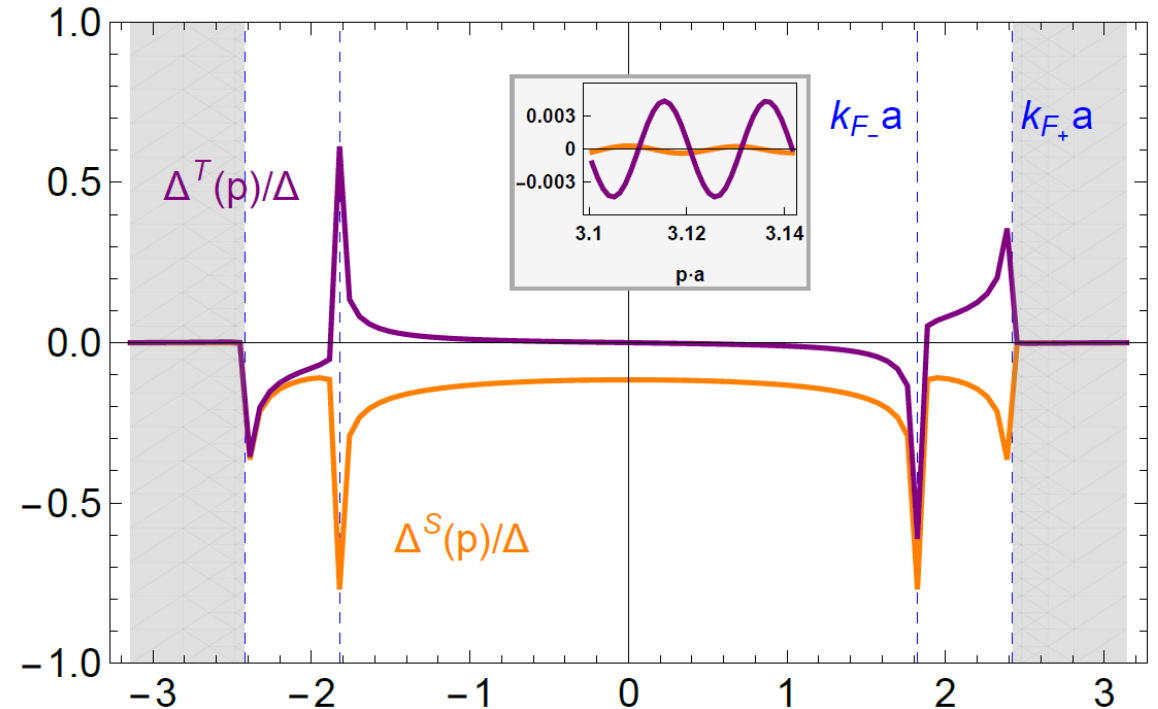
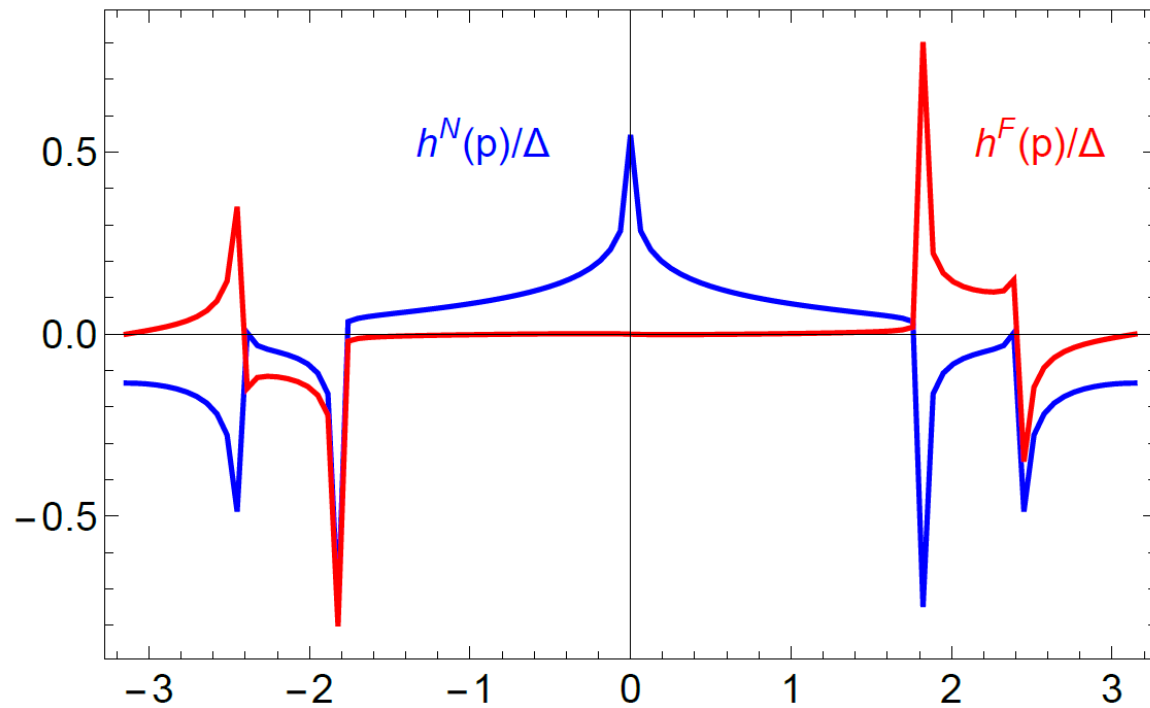
$$W^{2D} = W_{k_y \rightarrow 0}^{1D} \times W_{k_x \rightarrow 0}^{1D}$$



Ardonne, Budich [Phys. Rev. B 88, 134523 \(2013\)](#)

Haim, Berg, Flensberg, Oreg, Phys. Rev. B **94**, 161110(R) (2016)

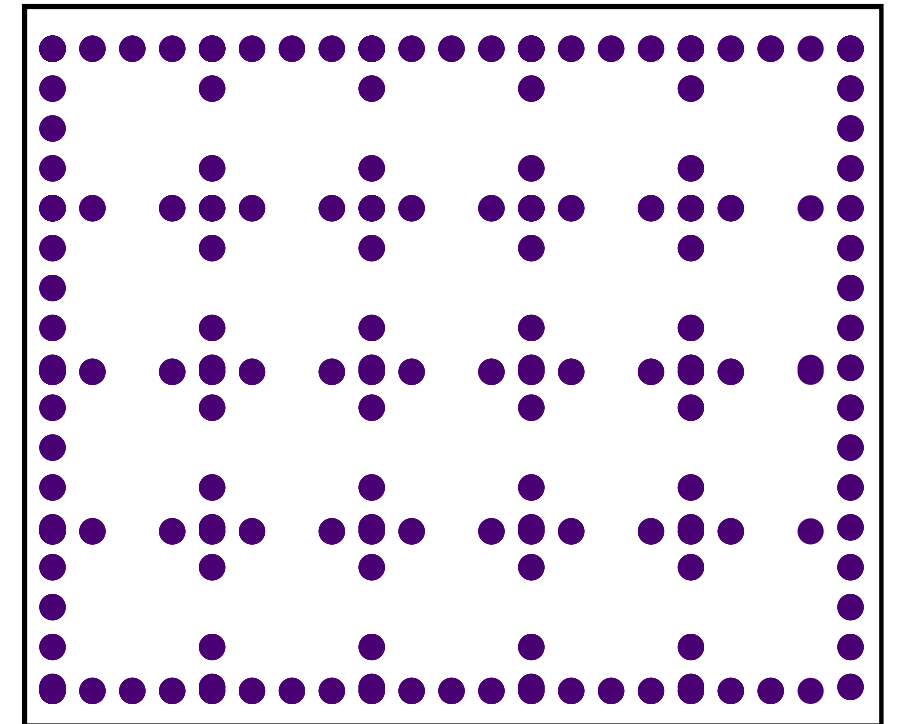
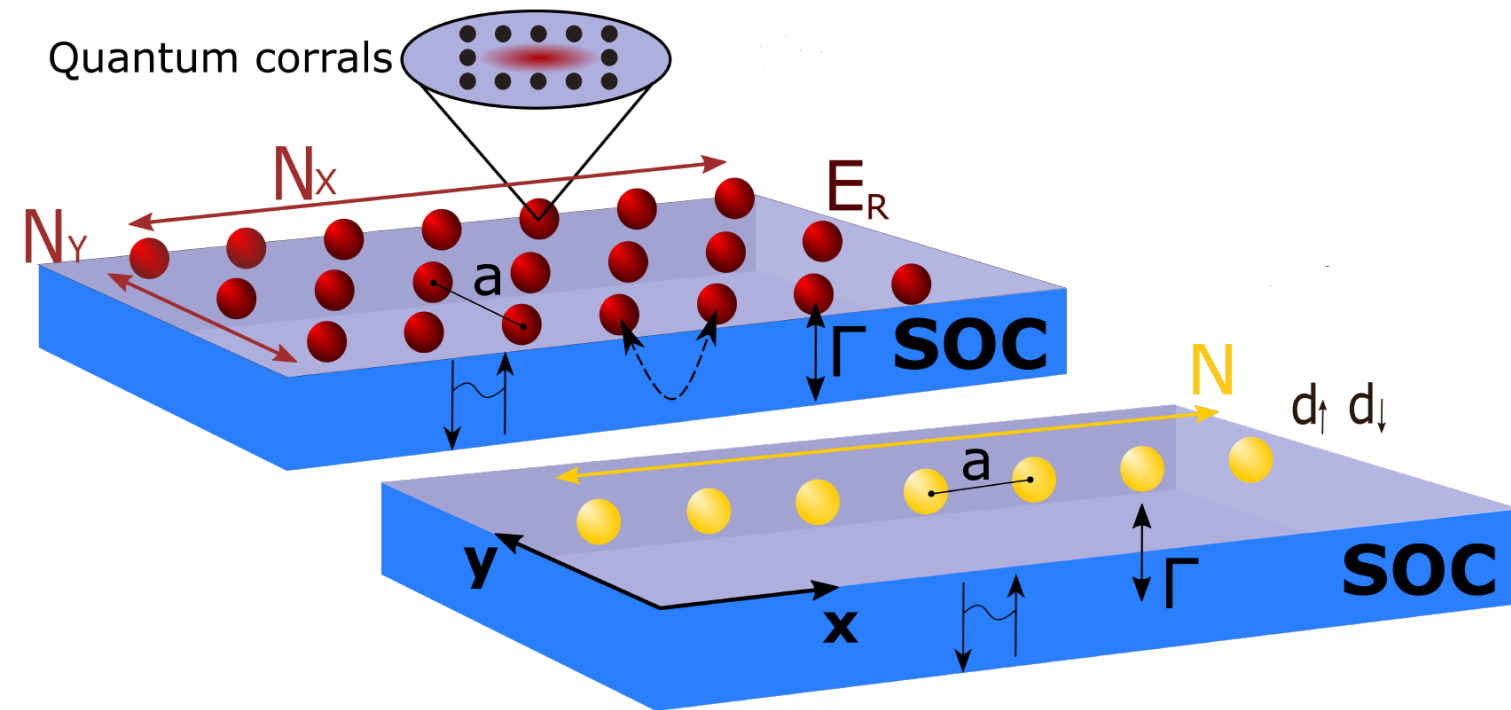
Effective parameters in momentum space



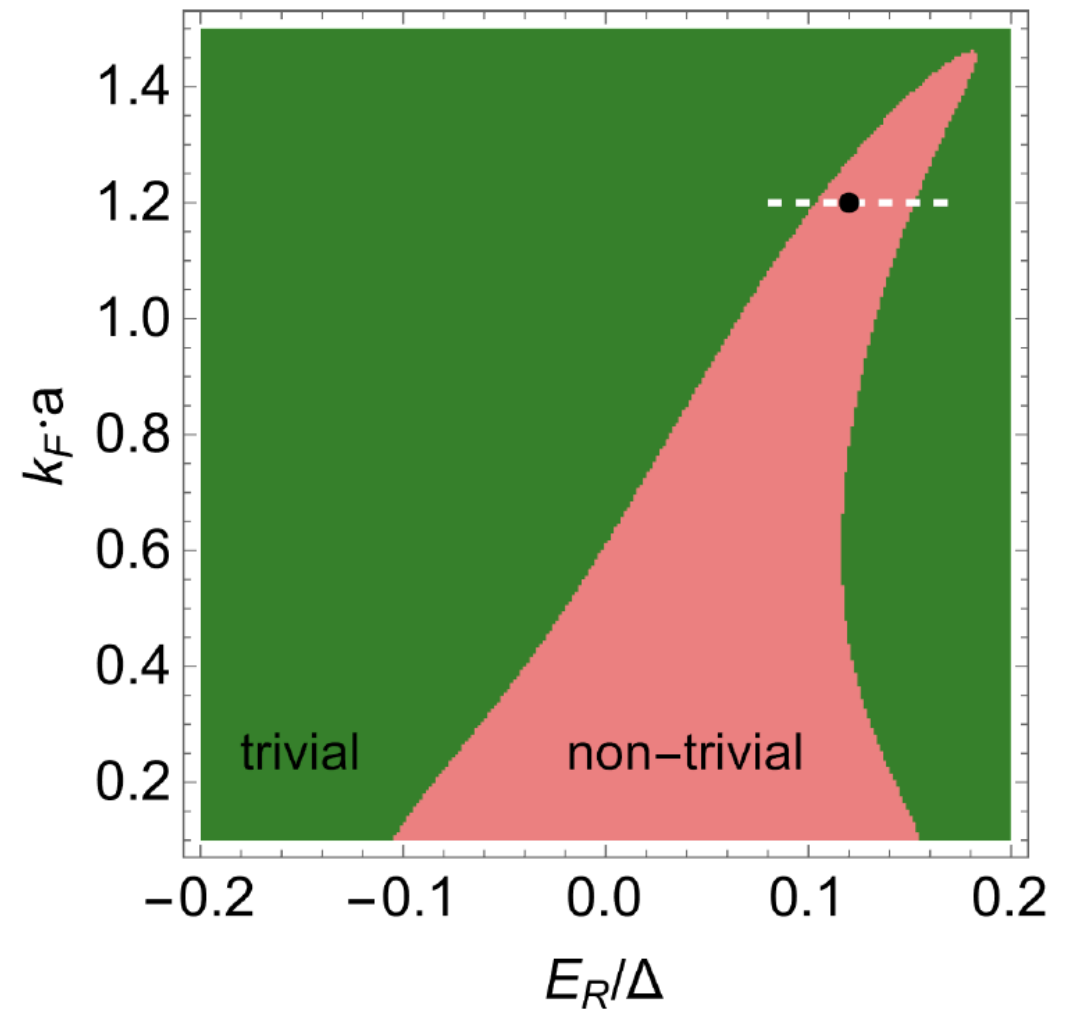
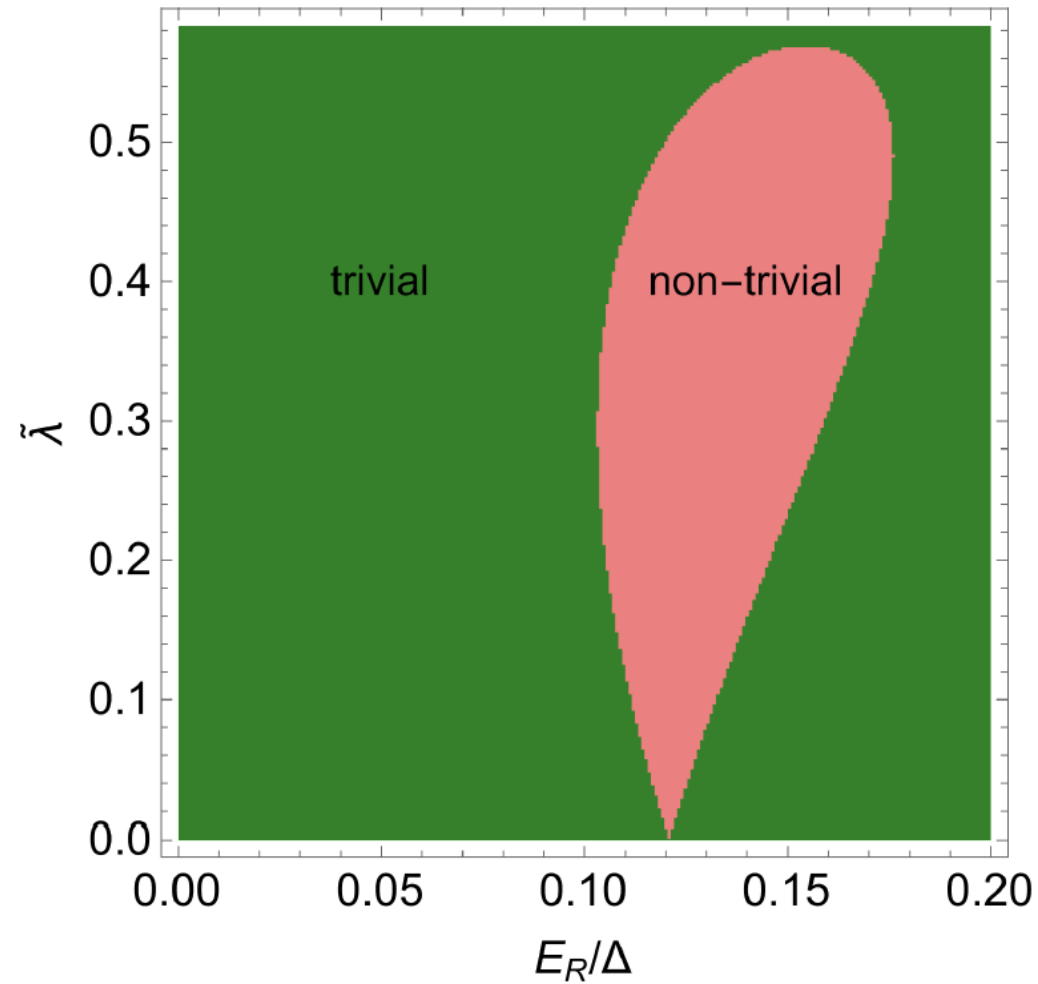
Problem: For topological superconductivity triplet > singlet needed

Solution 1 – Nearest neighbor cutoff

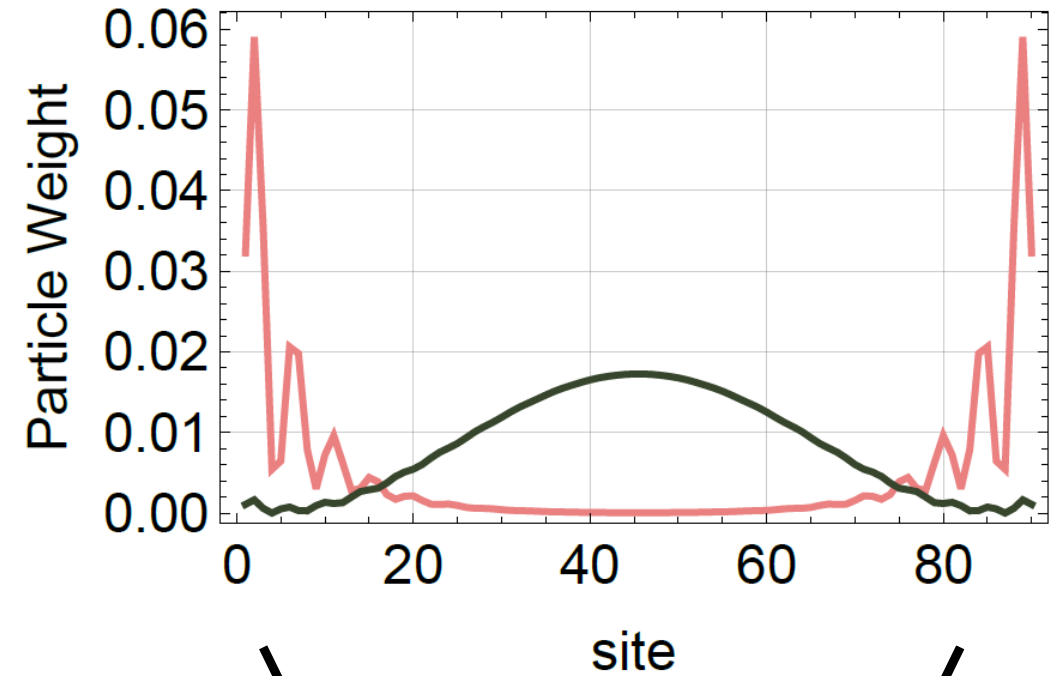
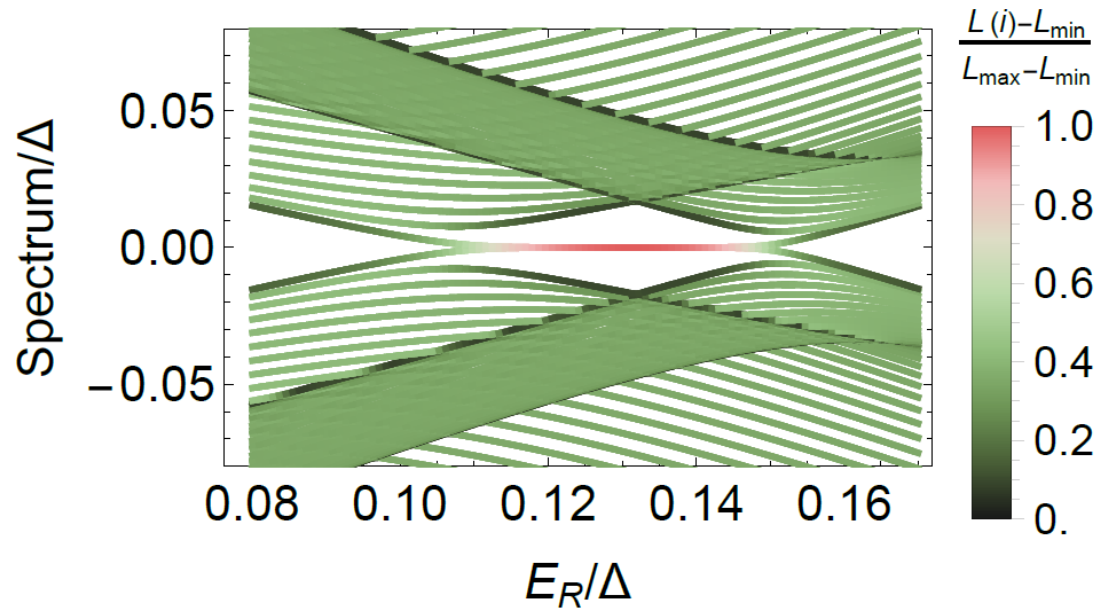
- Only consider coupling between close corrals
- Further couplings negligibly small for geometric reasons



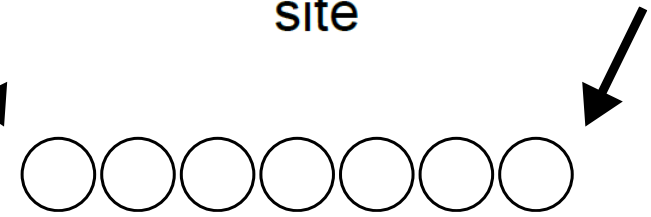
1D topological phase diagram



Majorana bound states



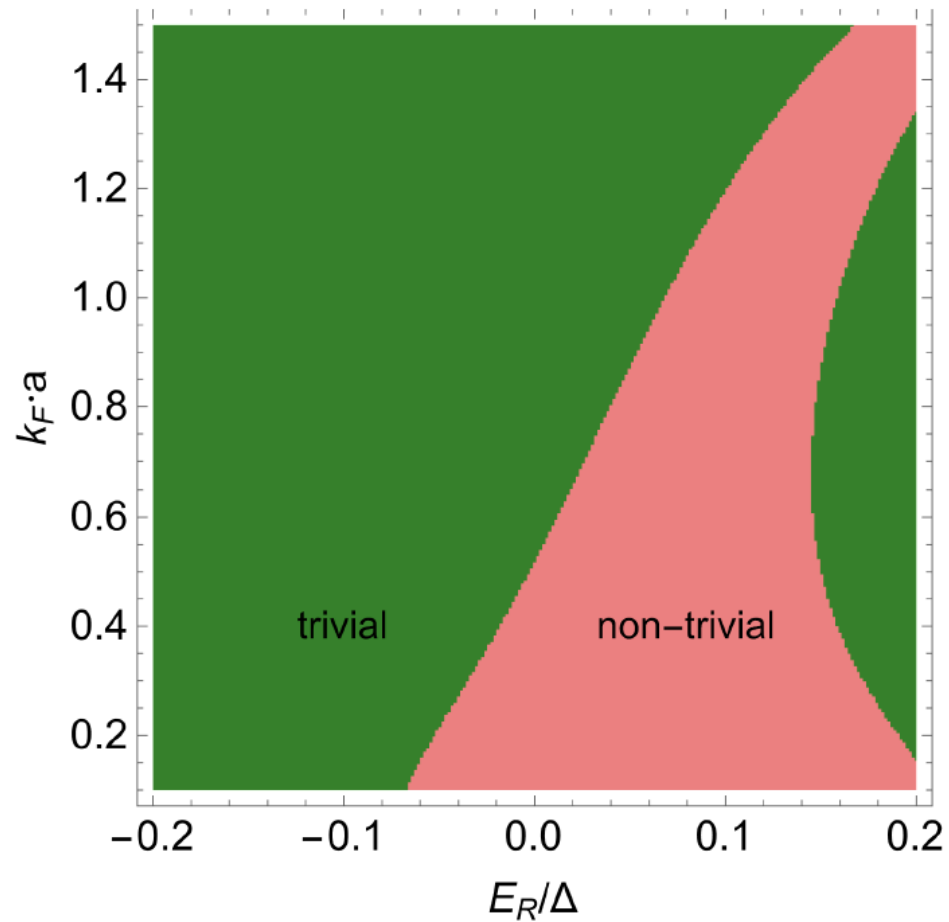
2x Kramers degenerate
Majorana boundary modes



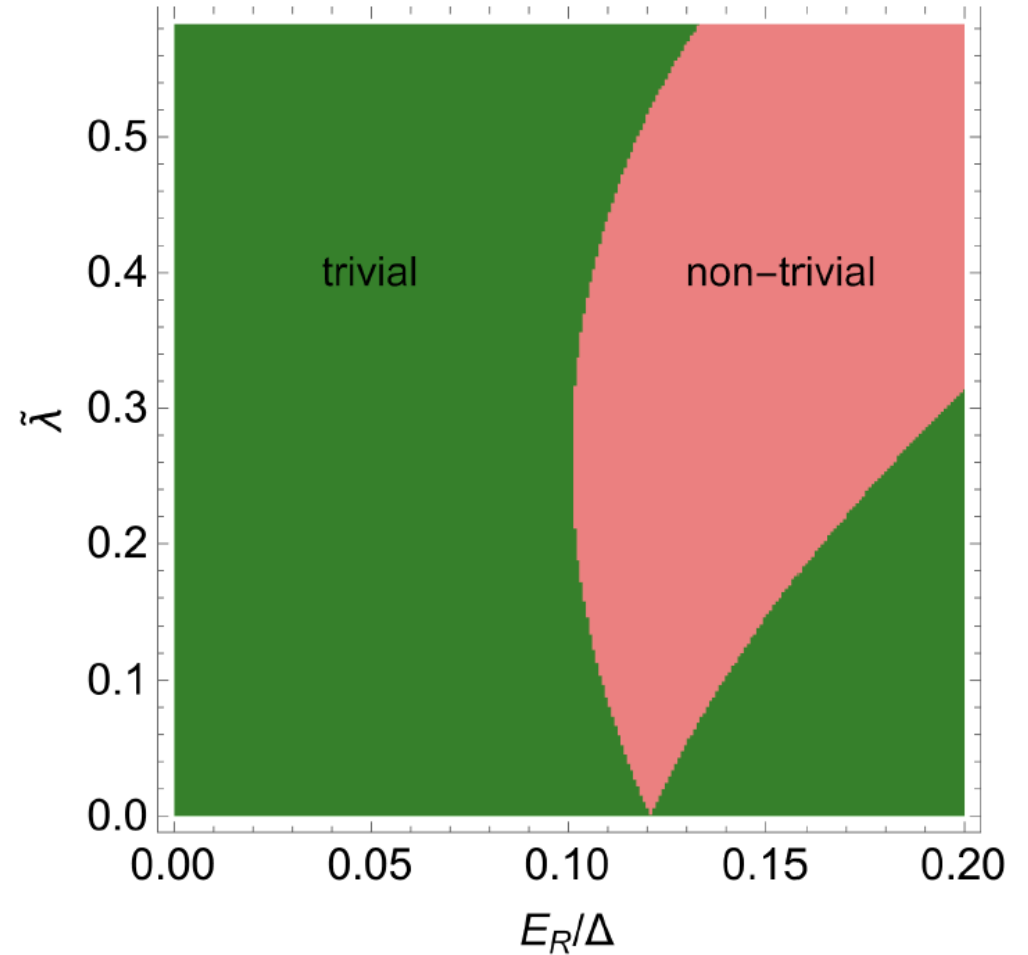
Ardonne, Budich [Phys. Rev. B 88, 134523 \(2013\)](#)

C.-H. Hsu, P. Stano, J. Klinovaja, and D. Loss, Phys. Rev. Lett. 121, 196801 (2018)

2D topological phase diagram

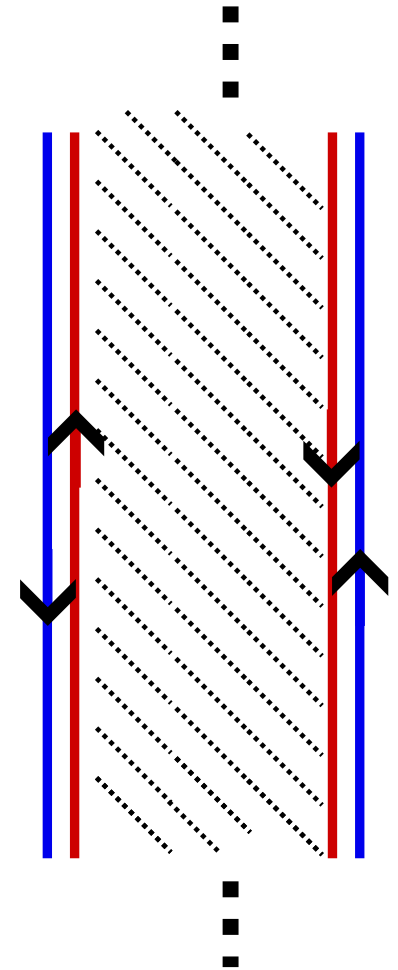
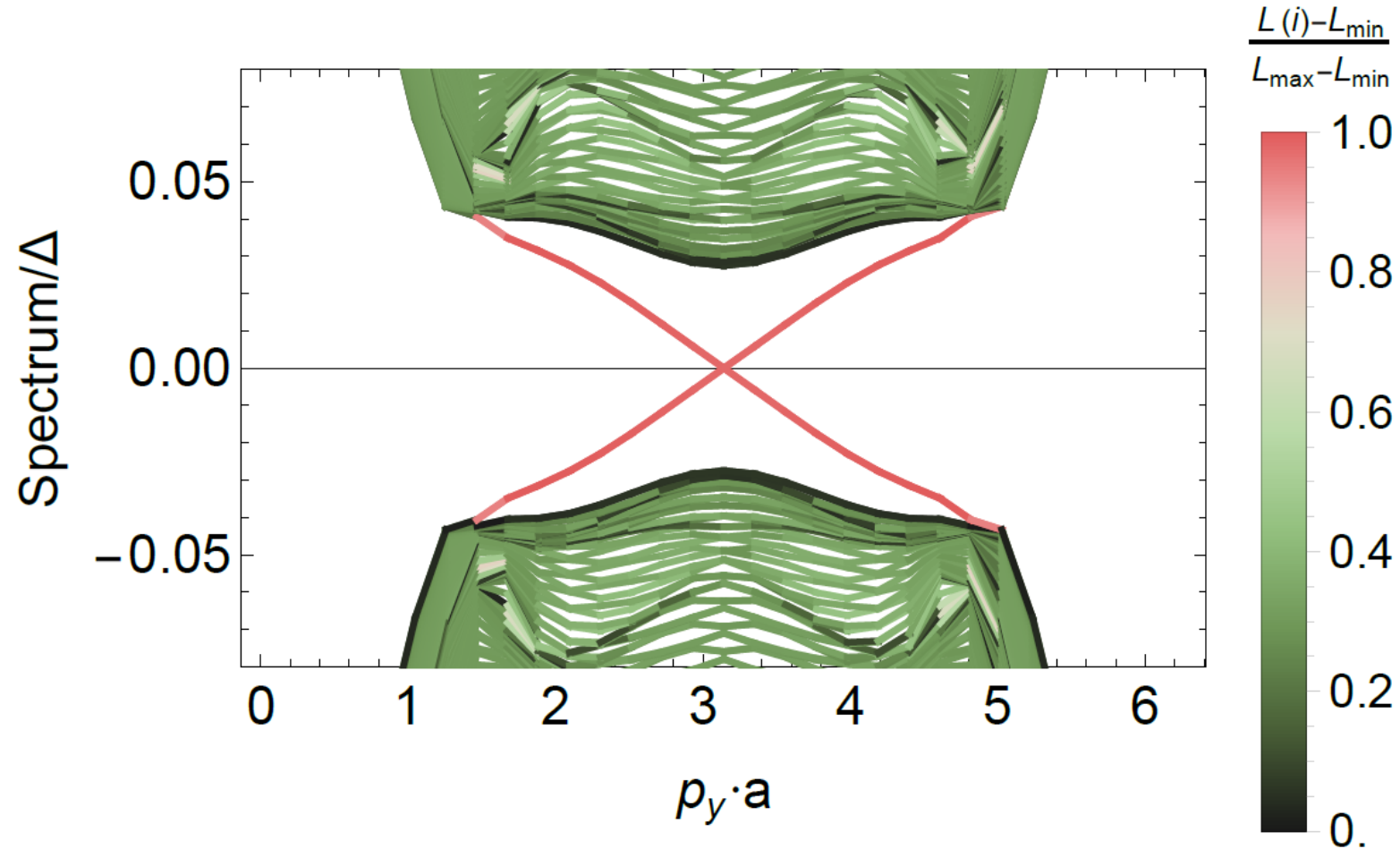


distance of impurities a and Fermi wave vector k_F

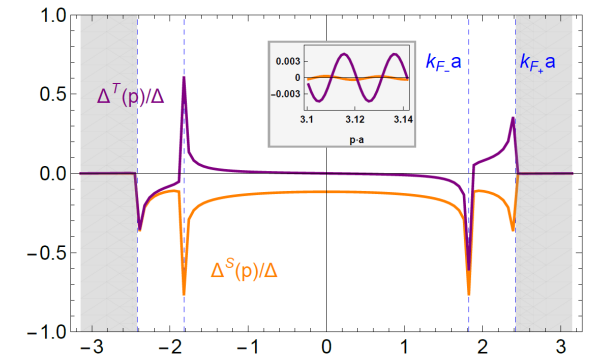


effective spin-orbit coupling

Chiral Majorana edge channels



Solution 2 – suppress singlet superconductivity

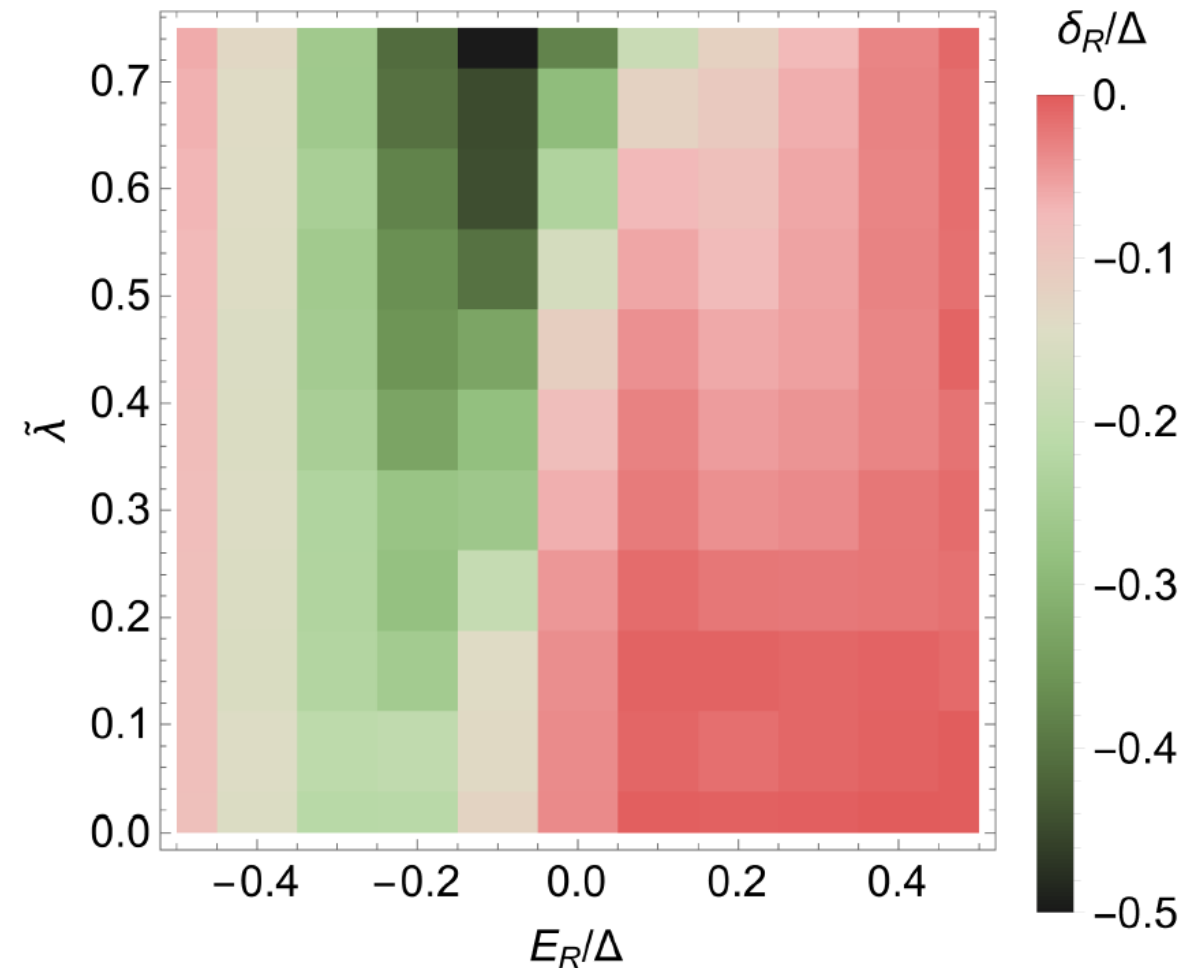


$$\hat{H}_{\text{MS}} = E_{\text{R}} \sum_{\sigma,j} d_{\sigma,j}^{\dagger} d_{\sigma,j} + U \sum_j d_{\uparrow,j}^{\dagger} d_{\uparrow,j} d_{\downarrow,j}^{\dagger} d_{\downarrow,j}$$

Mean field decoupling and minimization of free energy

$$\delta := \langle d_{\uparrow,j} d_{\downarrow,j} \rangle$$

$$\mathcal{F} = -T \int d\mathbf{k} \sum_m \ln \left(1 + e^{-\beta E_m(\mathbf{k})} \right) + U \delta^2$$



Applications of Machida-Shibata states

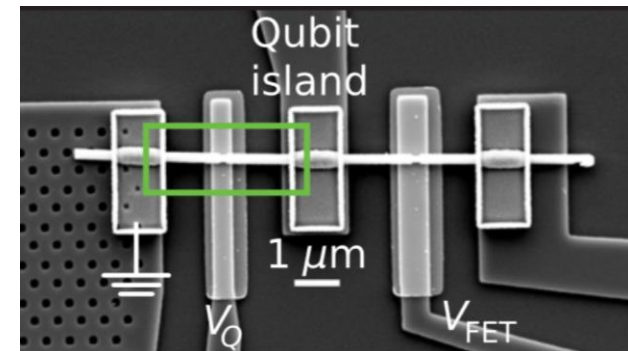


Kazushige
Machida

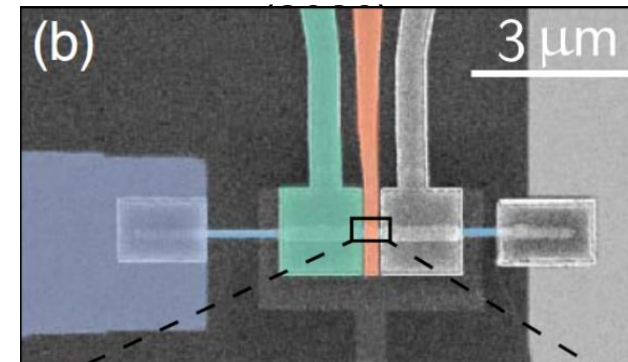
*.. thank you for finding utility of
Machida-Shibata lattice as a possible
time-reversal symmetric topological
superconductivity.
I really hope that your proposal is
realized near future.*

*Sincerely,
Kazu Machida*

Additional prospects:
Transmon qubit + quantum dot

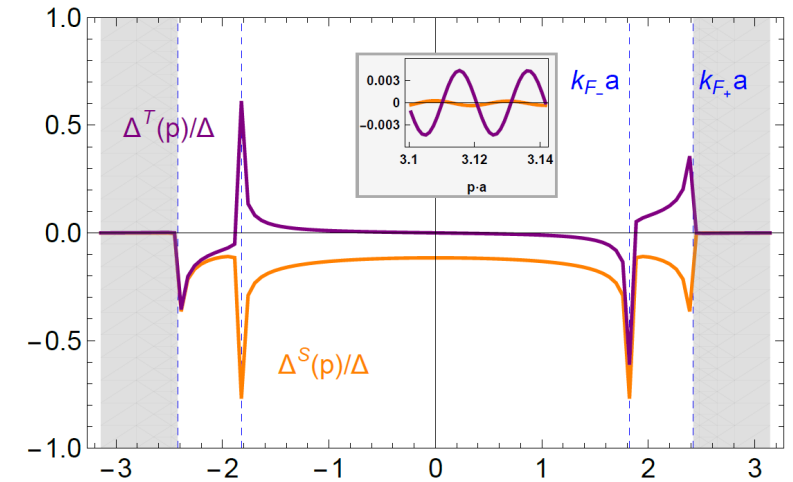
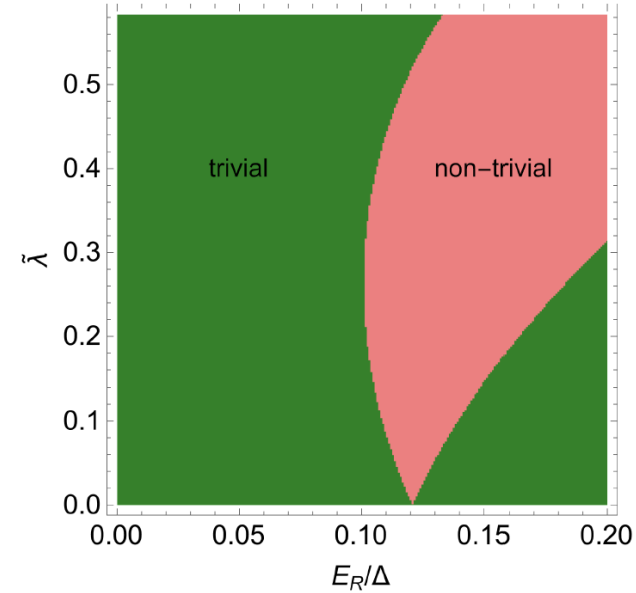
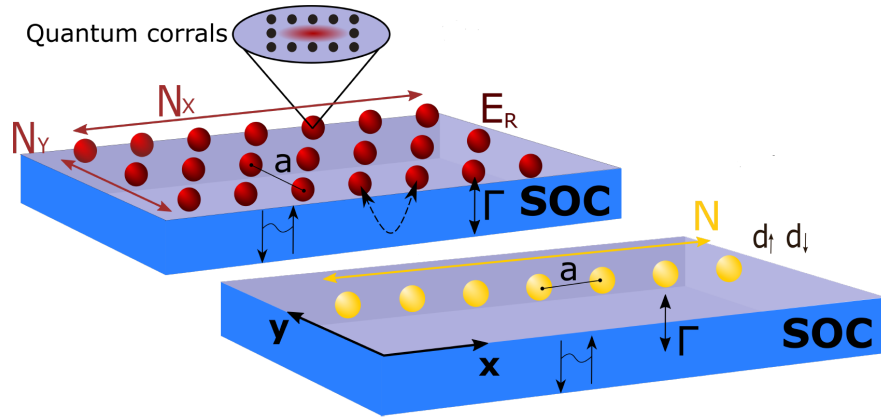


Kringhøj et al. PRL **124**, 246803



Bargerbos et al. PRL **124**, 246802 (2020)

Summary

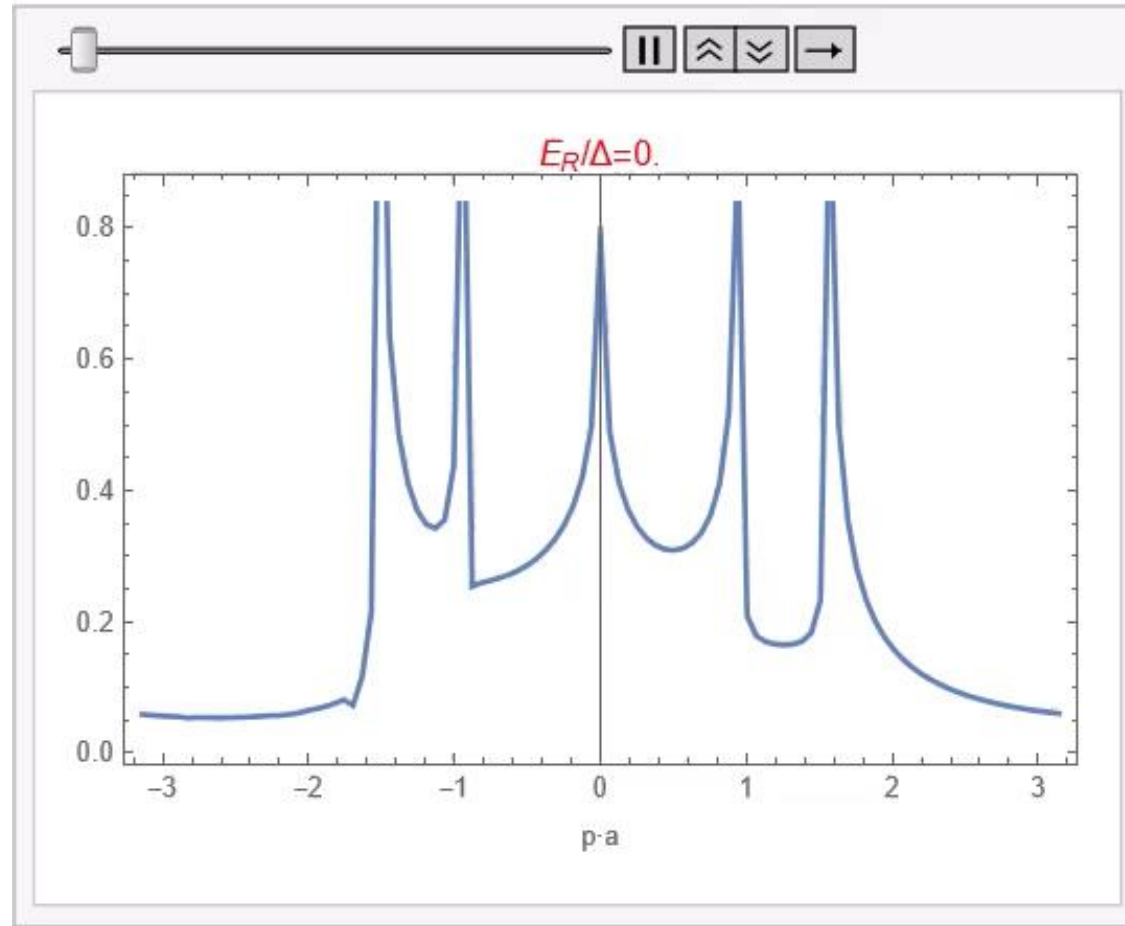


Machida-Shibata lattices

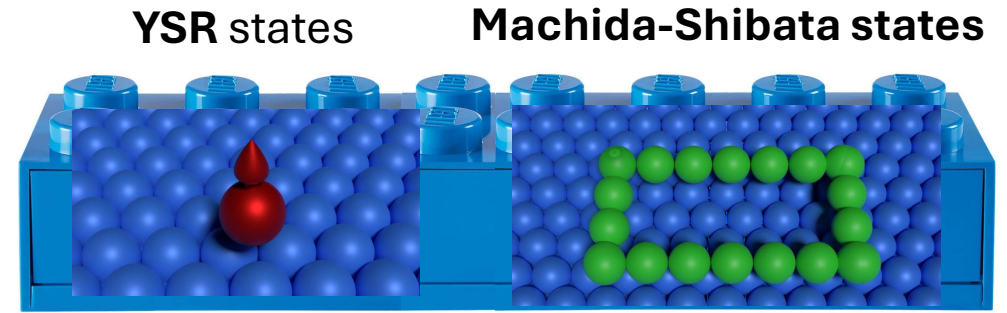
**Topological superconductor,
class DIII,
Kramers degenerate
Majorana modes**

**Compensating
singlet and triplet
superconductivity in
momentum space**

Gap closure and opening

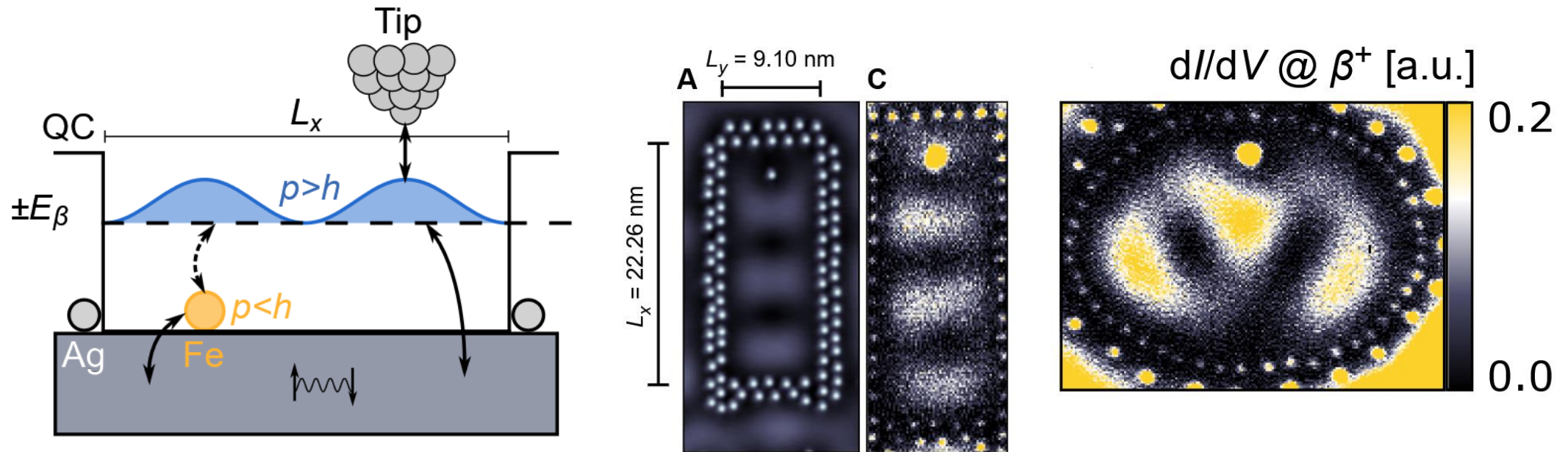


Outlook



- Hybridizing Machida-Shibata states and Yu-Shiba-Rusinov states

That, Xu, Ioannidis, Schneider, Posske, Wiesendanger, Morr, Wiebe, arXiv:2410.16054



- Splitting Kramers degeneracy**, further topological phases upon hybridization

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Jens Wiebe (UHH)

Dirk Morr (U Chicago)

Chang Xu (U Chicago)

Lucas Schneider

Khai Tôn That



Thank you

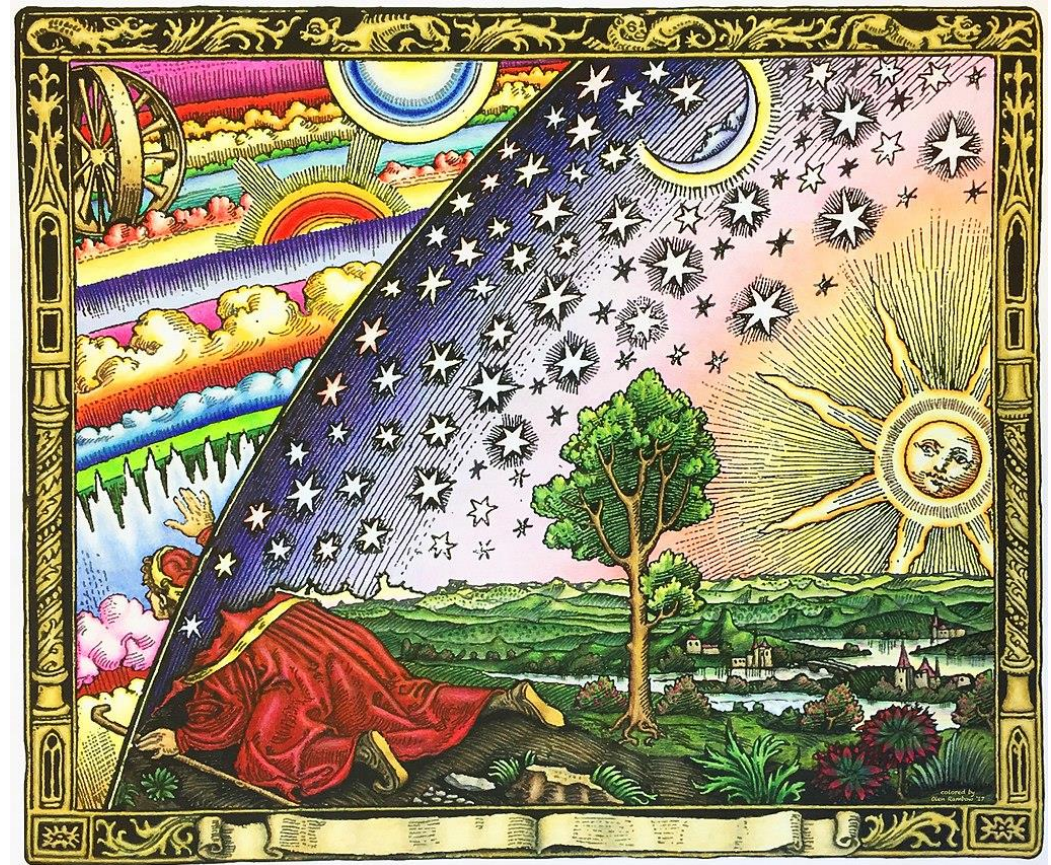


erc Starting grant
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DFG Deutsche
Forschungsgemeinschaft



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CUI: ADVANCED
IMAGING OF MATTER



Flammarion engraving, Paris 1888
„Die Neugier hinter die Dinge zu
schauen“